

PATENT  
Customer No. 22,852  
Attorney Docket No. 8350.0553-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Kurtis C. KELLEY and Matthew J. MAROON )  
)  
Application No.: To be assigned )  
)  
Filed: December 28, 2001 )  
)  
For: SYSTEM FOR AGGLOMERATING )  
EXHAUSTED PARTICULATE )  
MATTER )

Group Art Unit: Unassigned

Examiner: Unassigned



Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

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documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Caterpillar Deposit Account No. 03-1129.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 28, 2001

By: 

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# INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	08350.0553-00000	Appln. No.	Unassigned
Applicant	Kurtis C. KELLEY and Matthew J. MAROON		
Filing Date	December 28, 2001	Group:	Unassigned

J1050 U.S. PTO  
 10/029024  
 12/28/01

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
		5,961,931	10-05-99	Ban et al.			
		6,062,027	05-16-00	Landfahrer et al.			
		6,230,696 B1	05-15-01	Veit et al.			

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce